

University of Montevallo
Protocol for Animal Care and Use
Ethical Compliance Form: Animal Subjects

Protocol A: Applicable to non-IACUC review research, generally observational in nature

All University-related research (see Guidelines for the Review of Research With Animal Subjects) must be reviewed by the Human and Animal Research Subjects Committee. This short form is applicable when research is *not* funded by NIH or NSF, and involves invertebrates, rats of the genus Rattus or mice in the genus Mus, reptiles, amphibians, fish, or poultry (uses organisms exempt from the Animal Welfare Act of 1973). Also, this short form may only be used when the study **does not** involve the potential for pain, distress, or discomfort to the organisms that cannot be alleviated. In addition, if any other types of organisms are to be used, or if the project is funded by NIH or NSF, the more detailed **Protocol B for Animal Care and Use** must be submitted. If species governed by the Animal Welfare Act are to be used, contact John Burling, Chair, HASRC, Station 6440, as soon as possible prior to initiation of the study for further details.

If the research is part of the usual activities in a course, the instructor may use this form to generally describe the types of projects that will be conducted(one form, attachments as appropriate). After the instructor has reviewed the Guidelines, s/he may take primary responsibility for the review of course projects with respect to ethical concerns. If there is some question about ethical issues for a given project, the Chair of HASRC should be contacted for further information. Finally, if the research is conducted on a regular basis in the course, the instructor must notify the Chair of HASRC annually of the continuation of the described studies.

Please type or print:

1. Title of project:_____

2. Principal investigator/course director:

Name and Title:_____

Department:_____

Office phone:_____Emergency phone:_____

3. Other responsible persons/research assistants:

<u>Name</u>	<u>Responsibility</u>	<u>Offc. phone</u>	<u>Emergency phone</u>
1			
2			
3			
4			

4. Protocol status::

New Modification Continuation
 Research Teaching Exhibition

5. Start date: _____ Anticipated completion date _____

6. Funding source: Department Other intramural source
 Extramural, Specify source: _____

7. ANIMAL REQUIREMENTS:

SPECIES: _____

STRAIN: _____

SEX: _____

AGE: _____

TOTAL NUMBER TO BE USED: _____

DURATION OF STUDY: _____

8. This study is generally observational in nature, with no invasive procedures. Animals should be healthy at the conclusion of the study for release/normal life expectancy.*

YES NO**

**If part of the research involves preserving specimens for future work, the researcher may preserve a reasonable number of animals. Procedures for preservation must be congruent with Section 5 (Experimental Procedures) of the Guidelines.*

****If you answered "no" above, you must complete form B of the Protocol for Care and Use of animals. (Protocol B)**

9. RESEARCH OR TEACHING PLAN: IN SUFFICIENT DETAIL TO PERMIT EVALUATION BY MEMBERS OF THE HUMAN AND ANIMAL RESEARCH SUBJECTS COMMITTEE, ANSWER THE FOLLOWING QUESTIONS:

a. What is (are) the objective(s) and potential significance of the activities involving animal use?

b. What are the specific procedures you are proposing to use that will involve or affect the animals?

**10. IF WILD OR EXOTIC SPECIES ARE TO BE USED:
ARE SPECIAL PERMITS REQUIRED? ___NO ___YES. IF YES, PLEASE
FORWARD A COPY OF APPLICABLE PERMITS OR PERMIT APPLICATIONS.**

**11. WILL WILD ANIMALS BE OBSERVED, MANIPULATED, OR TRAPPED IN THE
WILD? ___NO ___YES. IF YES, AND THEY HAVE NOT ALREADY BEEN
ADDRESSED, DESCRIBE THE FIELD PROCEDURE(S).**

12. ASSURANCES:

PRINCIPAL INVESTIGATOR: I HAVE READ THE GUIDELINES CONCERNING ETHICAL TREATMENT OF ANIMAL RESEARCH SUBJECTS AND AGREE TO ABIDE BY THEM. I HAVE REVIEWED THE GUIDE FOR THE CARE AND USE OF LABORATORY ANIMALS AND AGREE TO CARE FOR THE ANIMALS IN ACCORDANCE WITH THE RECOMMENDATIONS SET FORTH IN THE GUIDE. I WILL ADVISE THE HUMAN AND ANIMAL RESEARCH SUBJECTS COMMITTEE (HASRC), IN WRITING, OF ANY SIGNIFICANT CHANGE IN THE PROCEDURES DESCRIBED IN THIS PROTOCOL.

Signature of Researcher(s)

DATE

Approved, Chairperson HASRC

DATE